



**Countering Sanctioned Activity through
Comprehensive Supply-Chain Due Diligence
Kuala Lumpur, Malaysia
February 27th - March 1st, 2023
Day 1**

Time	Description
9:00-9:30	<u>Welcome and Brief Introductions</u>
9:30-10:00	<u>Opening Address by Domestic Authority Representative</u>
10:00-10:45	<u>Examination of International Sanctions and Why They Matter</u> <i>Discussion of sector specific sanctions and the relationship to supporting DPRK's WMD program as well as an examination of the consequences of sanctions violations and outline enforcement principles to improve domestic and regional security.</i>
10:45-11:15	Break
11:15-12:00	<u>International Sanctions Industry Obligations and Member State Compliance</u> <i>An introduction covering sanctions for international peace and security and current applicable commodity sanctions. The session will include how sanctions are enforced on private industry as well as consequences of failing to comply with sanctions including, reputational damage, designation and fines and criminal penalties for both Member States and their indigenous industries.</i>
12:00-1:00	Lunch
1:00-1:45	<u>Preventing and Detecting Sanctioned Trade with DPRK</u> <i>In depth discussion of the details and extent of U.N. sanctioned commodities to and from DPRK through an examination of reported cases studies and analysis of U.N. reports</i>
1:45-2:45	<u>Risk Analysis Considerations</u> <i>Participants will examine the necessity and methods of conducting a risk analysis. Further, participants will study the unique risk factors including, industry specific risks, geographical risk as well as risks associated with their supply chain and commodity.</i>
2:45-3:00	Break
3:00-3:45	<u>Cross-Sector Communication</u> <i>Discussion on communication needs across sectors and the importance of information sharing within the private sector and to the relevant authorities</i>
3:45-4:00	<u>Closing Discussion & Questions</u>
4:00	Adjourn



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Day 2**

Time	Description
9:00-9:30	<u>Welcome Back & Questions from Day 1</u>
9:30-10:30	<u>Analysis of Supply Chain Disruptions & Sanctions Evasion Typologies</u> <i>Examination of different target state sanctions evasion tactics and corresponding impacts Member States and indigenous industries.</i>
10:30-11:00	<u>Contracts to Mitigate Sanctions Risk</u> <i>Presentation on contracts as a tool to establish zero tolerance for sanctions evasion activities and discussions outlining best practices used by other industries.</i>
11:00-11:30	Coffee Break
11:30-12:15	<u>Mitigation Measures for Industry Sanctions Compliance</u> <i>Targeted mitigation measures necessary to address vulnerability and necessary components of a robust Sanctions Compliance Program.</i>
12:15-1:15	Lunch
1:15-2:00	<u>Tools and Techniques</u> <i>Presentation on the multiple resources available to aid in the due diligence process such as open-source sites, UN sources, designated party screening services for designated individuals & entities, and UN implementation notices. Participants will apply these methods to the table-top exercise on Day 3.</i>
2:00-2:45	<u>Methods to Investigate Beneficial Ownership</u> <i>Methods to investigate business and commercial ties across jurisdictions, and best practices in requesting and validating ultimate beneficial owner.</i>
2:45-3:15	<u>Introduction to Table-Top Exercise</u> <i>Facilitators will introduce the premise for Day 3's TTX and prepare participants for the interactive exercise that will span Day 3</i>
3:15-3:30	<u>Closing Discussion & Questions</u>
3:30	Adjourn



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Day 3**

Time	Description
09:00-09:30	<u>Welcome Back, Questions, & Answers</u>
9:30-10:30	<p><u>Table-Top Exercise</u> <i>Participants will be broken into groups to examine a specific supply chain from two different angles. Participants will conduct a black hat threat assessment on the industry situation they are provided. In the second half of the exercise, participants will develop mitigation measures designed to protect against the vulnerabilities discovered in the black hat exercise.</i></p>
10:30-10:45	Coffee Break
10:45-12:00	<u>Table-Top Exercise Continuation and Debrief</u>
12:00-1:00	Lunch
1:00-2:00	<p><u>Next Steps – Facilitated discussion on next steps with participants</u> <i>The facilitators will discuss with the participants specific needs for further interactions to improve implementation of UNSCRs within their industry. Facilitators will discuss lessons learned, areas where participants will want more information, and other observations</i></p>
2:00-2:30	Course Evaluation and Certificates Provided
2:30	Adjourn